



Homeless Veterans' Reintegration Program (HVRP) Post-Award Conference (PAC): Performance and Reporting – Corrective Action Plans and High-Risk Designations

July 25, 2024

Today's Speaker



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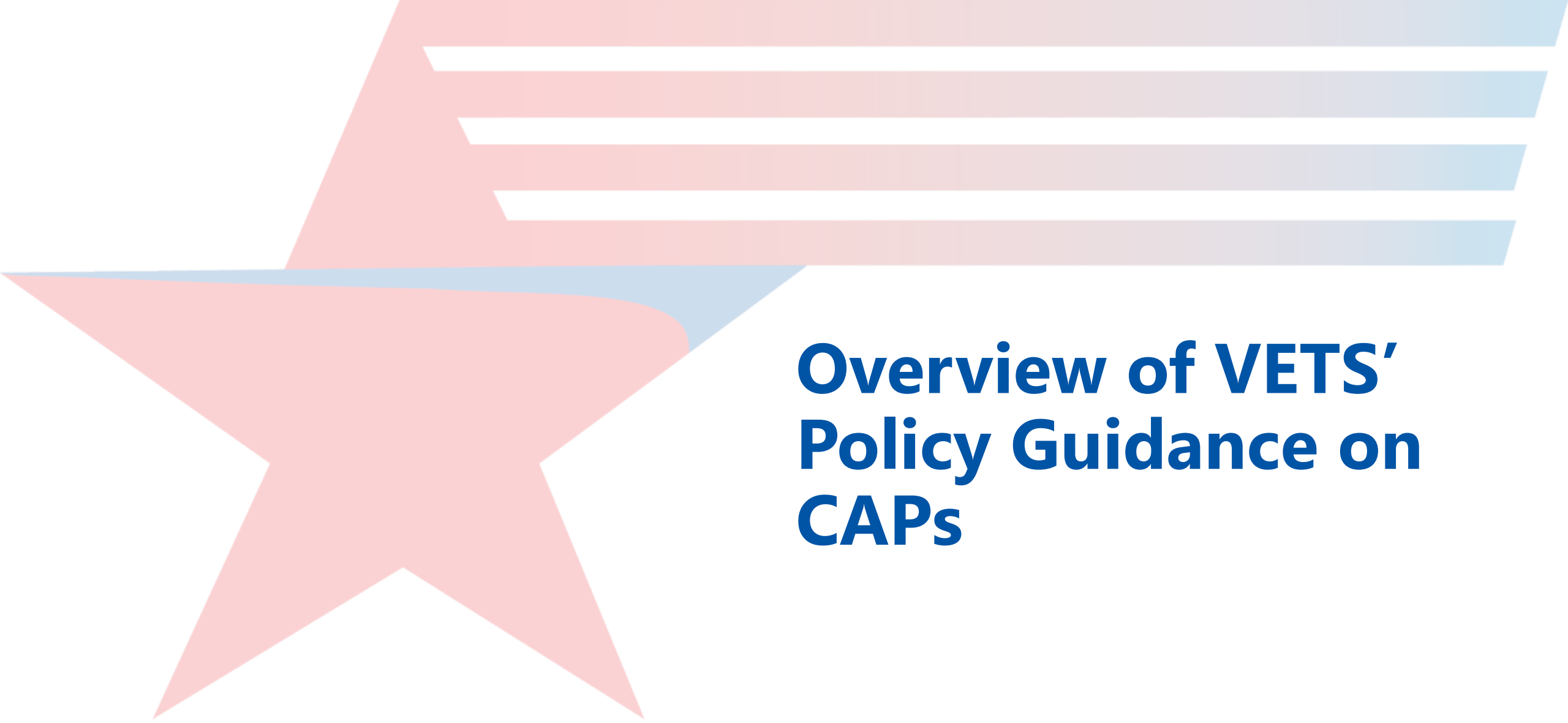
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Today's Session

- Overview of VETS' Corrective Action Plans (CAP)
- Overview of VETS' HVRP High-Risk (HR) Designations



Overview of VETS' Policy Guidance on CAPs

Where Can I Find Information on CAPs



- [Veterans' Program Letter \(VPL\) 03-24](#), and its accompanying [Technical Assistance Guide \(TAG\)](#)
 - Revised for a 36-month/12-quarter Period of Performance (PoP) and aligns with the current performance indicators for HVRP.
 - The what and how of VPL 03-24 that describes what necessitates a CAP and describes what the two types of CAPs are: **1) performance-based**, and **2) administrative and managerial, which require corrective action.**
 - Notification and approval process of performance-based CAP is through the performance outputs of the Technical Performance Report (TPR) (action indicators). The use of the Technical Performance Narrative (TPN) and acceptance of the quarterly performance reports within the quarterly reporting process and timeline are outlined in VPL 03-24 Section VI.

Attachment 1: HVRP CAP Technical Assistance Guide, cont.



Notification, approval, and removal process of administrative and managerial is in writing and utilizes GrantSolutions for filing.



CAPs can span multiple program years (PY) within the PoP for both performance-based and administrative and managerial.



States that grant recipients do not have to develop or modify a CAP for poor performance within their final quarterly performance report (PoP year 3, quarter [Q] 12).

Attachment 1: HRVP CAP Technical Assistance Guide



- **FAQ: Does a grant recipient continue a CAP from one PY to another, or do we wait to see how we do at the end of Q1 of the next PY?**
- **Answer:**
 - Failure to meet one or more of the performance indicators provided in Table 1 of the TAG in PoP Q1 through Q11 will require a CAP within the narrative section of the quarterly report for that quarter.
 - CAPs can span multiple PYs until performance indicators meet minimum expectations or an administrative or managerial CAP has come into compliance.

Status	PY1	PY1	PY1	PY1	PY2	PY2	PY2	PY2	PY3	PY3	PY3	PY3
	Q1	Q2	Q3	Q4	Q1 / PY1	Q2 / PY1	Q3 / PY1	Q4 / PY1	Q1 / PY2	Q2 / PY2	Q3 / PY2	Q4 / PY2
	PoP Q1	PoP Q2	PoP Q3	PoP Q4	Q5 / PoP Q5	Q6 / PoP Q6	Q7 / PoP Q7	Q8 / PoP Q8	Q9 / PoP Q9	Q10 / PoP Q10	Q11 / PoP Q11	Q12 / PoP Q12
Red	5	5	7	7	8	8	8	8	8	8	8	8
Yellow	4	4	6	6	7	7	7	7	7	7	7	7
Green	5	5	7	7	8	8	8	8	8	8	8	8

CAP FAQ – Two



- **FAQ: Which Qs do the TPN Action indicators apply to for the different measures?**
- **Answer:** VPL 03-24 Attachment 1 CAP TAG addresses this through description and the utilization of tables.

Performance Indicator	Red	Yellow	Green
1. Number of Participants Enrolled	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12
2. Average Hourly Wage at Placement	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12
3. Placement Rate	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12
4. Placement Rate Episodically Homeless or Cost per Placement	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12
5. Percentage of Enrollments Receiving Training Services	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12	PY1 Q1-Q4 PY2 Q1-Q4 PY3 Q1-Q4 PoP Q1-Q12
6. Employment Rate 2 nd Quarter After Exit	PY1 Q3-Q6 PY2 Q3-Q6 PY3 Q3-Q4 PoP Q3-Q12	PY1 Q3-Q6 PY2 Q3-Q6 PY3 Q3-Q4 PoP Q3-Q12	PY1 Q3-Q6 PY2 Q3-Q6 PY3 Q3-Q4 PoP Q3-Q12
7. Median Earnings 2 nd Quarter After Exit	PY1 Q3-Q6 PY2 Q3-Q6 PY3 Q3-Q4 PoP Q3-Q12	PY1 Q3-Q6 PY2 Q3-Q6 PY3 Q3-Q4 PoP Q3-Q12	PY1 Q3-Q6 PY2 Q3-Q6 PY3 Q3-Q4 PoP Q3-Q12
8. Employment Rate 4 th Quarter After Exit	PY1 Q5-Q8 PY2 Q5-Q8 PY3 N/A PoP Q5-Q12	PY1 Q5-Q8 PY2 Q5-Q8 PY3 N/A PoP Q5-Q12	PY1 Q5-Q8 PY2 Q5-Q8 PY3 N/A PoP Q5-Q12

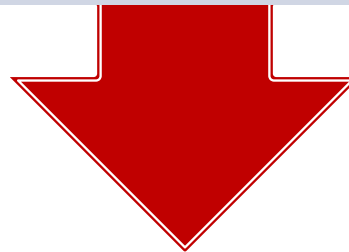
Attachment 1: Table 1 – Performance Indicator Clarification



VETS replaced the Placement Rate for Episodically Homeless performance indicator with Cost Per Placement for grants awarded under Funding Opportunity Announcement (FOA) VETS-23-01 and beyond.

Grant number sequence HV38XXX report quarterly on the Placement Rate for Episodically Homeless

Grant number sequence HV000XXX reports on Cost Per Placement and not Placement Rate for the Episodically Homeless

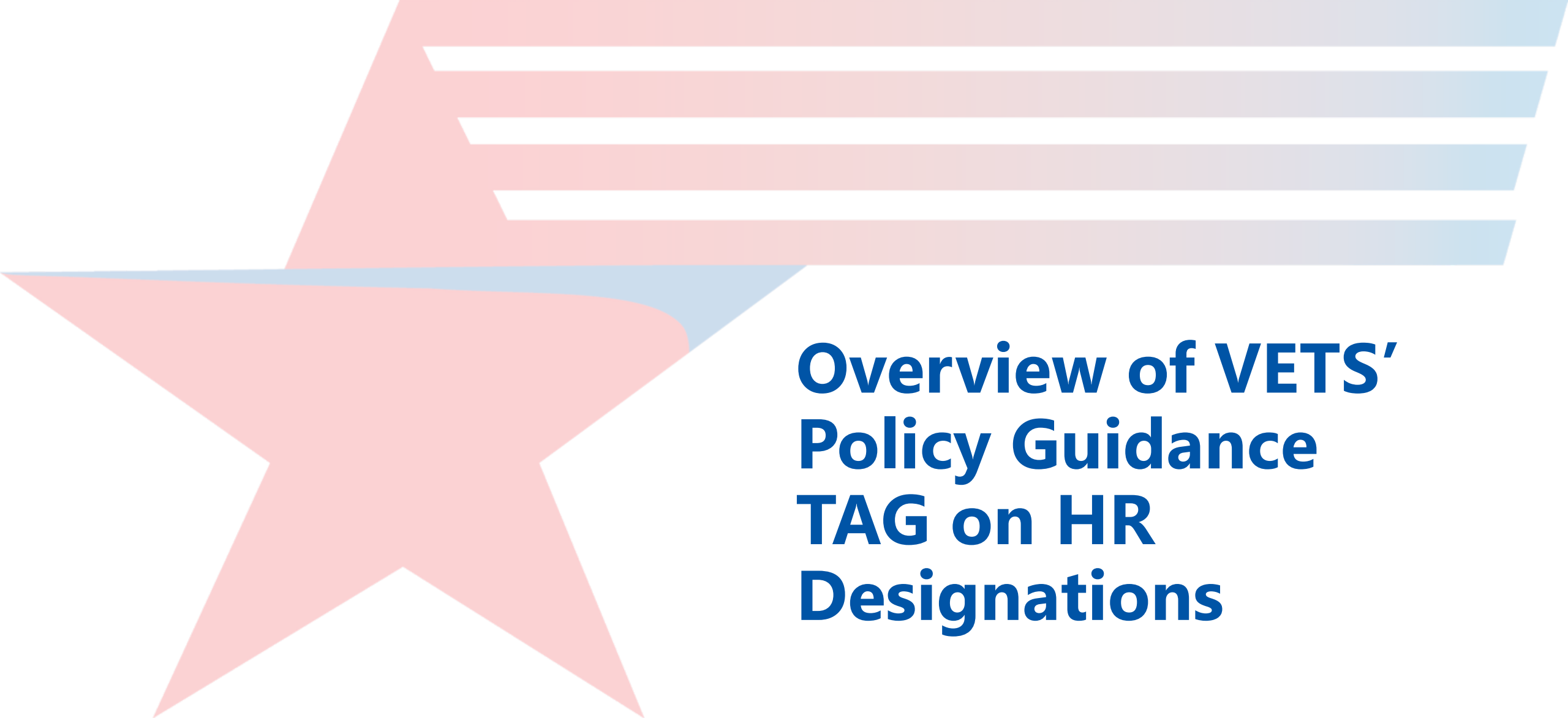


Either indicator is among the group of planned outcomes for the HVRP that is subject to a performance-based CAP or HR designation

CAP FAQ – Three



- **FAQ: How do I know if I report on Placement Rate for Episodically Homeless or the Cost per Placement?**
- **Answer:** Grants with grant number sequence HV38XXX report quarterly on Placement Rate for Episodically Homeless. Grants with grant number sequence HV000XXX report on Cost per Placement and not Placement Rate for the Episodically Homeless. There is also a line on the TPR that says it only applies to PoP Year 1 grant recipients, and the Episodically Homeless says it applies to PoP Year 3
- **FAQ: If we are not subject to the cost per placement, do we have to answer in the TPN about it?**
- **Answer:** If you are a PoP Year 3 grant recipient, you do not have to address the cost per placement at all in the TPN.



**Overview of VETS'
Policy Guidance
TAG on HR
Designations**

Where Can I Find Information on HR Designations?



- [VPL 03-24](#), and its accompanying [TAG](#)
 - Grant recipient may receive HR designation where the grant is at risk of failure directly related to performance and specific common examples of grant recipient non-compliance
 - Only authorized by the Grant Officer
 - Grant Officer can place additional conditions for the HVRP award
 - HR designations can require additional or more detailed financial and/or performance reports.
 - Provides grant recipients a timeframe of three consecutive Qs to improve performance or address/resolve non-compliance issues before the Grant Officer considers remedies for non-compliance that is listed in 2 Code of Federal Regulations (C.F.R.) §§ [200.339-200.340](#).

HR Designation FAQ



- **FAQ: How many measures must a grant recipient fail to be considered HR?**



Answer: VETS lists five indicators; the grant recipient fails to meet minimum performance expectations for at least three of them for three consecutive Qs.

- Enrollments;
- Average Hourly Wage at Placement;
- Placement Rate;
- Placement Rate Episodically Homeless (HV38XXX) / Cost Per Placement (HV0000XX); or
- Percentage of Enrollments Trained

Questions?



If we are unable to address any questions today, please forward your questions through your GOTR. Your GOTR will answer your question, or your inquiry will be forwarded through your regional office to be addressed.



Thank you!